

RESOLUTION OF THE BOARD OF DIRECTORS VIETCAP SECURITIES JOINT STOCK COMPANY

(Regarding: Adjusting the distribution of unsubscribed shares)

BOARD OF DIRECTOR

- Law on Enterprises and implementing documents;
- Securities Law and implementing documents;
- Charter of Vietcap Securities Joint Stock Company (the "Company");
- Resolution of the 2024 Annual General Meeting of Shareholders ("AGM") No.01/2024/NQ-DHĐCD.VIETCAP dated April 2, 2024;
- Board of Directors ("BOD") Resolution No.18/2024/NQ-HĐQT.Vietcap September 26, 2024 approving the implementation of the private placement plan;
- Notice No.537/2024/CV.Vietcap dated October 31, 2024 on payment for purchase of shares of the private placement of Vietcap Securities JSC ;
- Minutes of Board of Directors meeting No.21/2024/BB-HDQT.VIETCAP dated November 8, 2024.

RESOLUTION

Article 1 : Adjusting the distribution of unsubscribed shares

Based on the payment progress of investors as of November 8, 2024 and decision not to participate of investors:

- QUỸ ĐẦU TƯ NĂNG ĐỘNG EASTSPRING INVESTMENTS VIỆT NAM (Business registration certificate No. ;
- BÙI LAN PHƯƠNG (ID No. ;

The Board of Directors of the Company approved the adjustment of the distribution of the number of unsubscribed shares, which is 420,000 shares, in the private placement implemented in accordance with Resolution of the General Meeting of Shareholders No. 01/2024/NQ-DHĐCD.VIETCAP dated April 2, 2024 to investors (on the list approved by the Board of Directors in Resolution of the Board of Directors No. 18/2024/NQ- HDQT.Vietcap dated September 26, 2024) as follows:

Head Office

Bitexco Financial Tower, 15th Floor,
2 Hai Trieu Street, District 1, HCMC
T (84 28) 3914 3588 | F (84 28) 3914 3209

Hanoi

109 Tran Hung Dao Street,
Hoan Kiem District, Hanoi
T (84 24) 62626999 | F (84 24) 6278 2688

No	Investor name	ID number/ Citizen identification card /Passport or Business registration certificate	Object		Number of shares owned before the placement	Number of shares expected to be distributed (shares) *	Expected ownership after the placement (%) *	Relationship with the issuer	Relationship with Board Members, Supervisors and Management
			Strategic Investor/ Professional Investor	Foreign investor/ Economic organization with foreign investors holding more than 50% of charter capital/ Domestic Investor					
1	TRU'ONG THI MU'OI		Professional investor	Domestic investor	0	20,000	0.003%	None	None
2	PHAM MY HU'ONG		Professional investor	Domestic investor	0	400,000	0.056%	None	None

Note: *Number of shares distributed according to Resolution of the Board of Directors No. 18/2024/NQ-HDQT.Vietcap dated September 26, 2024 before being adjusted for distribution by:

- Investor TRU'ONG THI MU'OI is 360,000 shares, corresponding to the expected ownership ratio after the offering before being adjusted for distribution is 0.050%
- Investor PHAM MY HU'ONG is 530,000 shares, corresponding to the expected ownership ratio after the offering before being adjusted for distribution is 0.074%

Investors subject to distribution adjustment under this Article are responsible for depositing money to purchase shares into the escrow account no later than **15:00 on** November 11, 2024.

Article 2 : This Resolution takes effect from the date of signing. Members of the Board of Directors, Board of Management, and relevant departments of the Company are obliged to implement this Resolution.

On behalf of the Board of Directors
Chairman of the Board of Directors
(Signed & stamped)

Nguyen Thanh Phuong